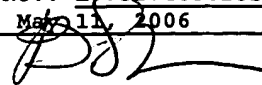


5-12-06

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Filed Via Express Mail  
Rec. No.: EV732746362US  
On : May 11, 2006  
By:   
Brendy L. Belony

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Yutaka YAMANAKA, et al.  
Filed: March 9, 2004  
For: OPTICAL DISK MEDIUM AND....  
Serial Number: 10/796,579

May 11, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

SIR:

In order to comply with discretionary regulations 37 CFR §§ 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of non-US documents (if any) listed thereon. This document contains information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

Also attached is a Japanese Office Action dated April 5, 2006, with partial translation, for corresponding Japanese Application Number 2004-024519.

For documents written in a language other than English, English language abstracts and/or explanations are annexed thereto explaining relevancy in accordance with 37 CFR §1.98 (a)(3).

The present Disclosure Statement is being submitted in compliance with 37 CFR § 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an

admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR § 1.104 (a), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

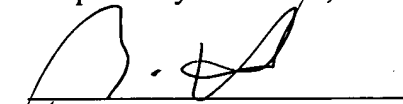
Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the information disclosure statement.

As this statement is being filed prior to issuance of a first Office Action, no fee is due. However, any fee determined to be due with this statement may be charged to Deposit Account 50-1290.

Early and favorable consideration is respectfully solicited.

Any fee due with this paper may be charged to Deposit Account 50-1290.

Respectfully submitted,

  
\_\_\_\_\_  
Brian E. Hennessey  
Reg. No. 51,271

CUSTOMER NUMBER 026304  
Docket No.: NECG 21.055



Please type a plus sign (+) Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

1449/PTO

U.S. Department of Commerce  
Patent and Trademark Office

Application No. : 10/796,579

Filing Date : March 9, 2004

First Named Inventor: Y. YAMANAKA

Group Art Unit :

Examiner Name :

Attorney Docket No. : NECG 21.055

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Sheet 1 of 1

## **U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document	Kind Code if known <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		6,314,067		CHUNG ET AL.	11-06-2001	

## **FOREIGN DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		2002-175636	JP	MATSUSHITA ELECTRIC IND CO LTD	06-21-2002	* **
		11-312339	JP	SAMSUNG ELECTRONICS CO LTD	11-09-1999	* **, ***
		8-279160	JP	SONY CORP	10-22-1996	* **
		2000-348351	JP	FUJITSU LTD.	12-15-2000	* **

**\*Mentioned in Japanese Office Action dated April 5, 2006, for corresponding Japanese Application Number 2004-024519**

**\*\*English Abstract and/or translation attached**

**\*\*\*Corresponds to US Patent 6,314,067**

## **Other Prior Art-Non Patent Literature Documents**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check here if English language translation attached
		Japanese Office Action dated April 5, 2006, for corresponding Japanese Application Number 2004-024519 with partial translation	X
Examiner Signature		Date Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1<sup>6</sup> if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.